## CS 2100: Data Structures \& Algorithms 1

Hash Tables<br>Intro. To Hash Tables; Separate Chaining

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## Friendly Reminders

- The University updated the mask policy. As per my Request on Mar 28, 2022 (see Collab), I would greatly appreciate if you would do me a kind favor by continuing to wear your masks in CS 2100 (Ridley G008). I know it is a lot to ask, and it is voluntary, but I appreciate your understanding.
- If you forget your mask (or mask is lost/broken), I have a few available
- Just come up to me at the start of class and ask!
- No eating or drinking in the classroom, please
- Our lectures will be recorded (see Collab) - please allow 24-48 hrs to post
- If you feel unwell, or think you are, please stay home
- We will work with you!
- At home: eye mask instead! Get some rest ©




## We Thought We Found The Answer

- Recall the linear search algorithm?
- We learned that we have to go through every entry one by one to find the desired item. Time complexity: $\mathbf{O ( n )}$
- It's boring and time consuming!
- When searching an ordered list, we thought we had found the answer. What was more efficient? Binary search algorithm
- In a sorted list, using binary search, the search is very fast (in comparison!) Time complexity: $\mathbf{O}(\log (\mathbf{n}))$
- Increasing the size of the data, changed the search time very slightly


## "Magical" Data Structure

- How about if we can find an item in an array, almost, without search?
- What if we could type our search key and our algorithm takes us directly to the item we are looking for?
- No need to search through all the items: 0 to n-1
- Such a magical data structure DOES exist, it's called a Hash Table


## The Kind Of Data Is Stored?

## - Hash tables store key-vallue pairs

- Each value has a specific key associated with it
- The value portion doesn't need to be a single item:
- Example: CID,\{FN, LN, Age, Major, Year, ...\}
- Keys and values need not be the same type!
- Example: Definitions: "set", "1. To put in a specified position..."


## What Is A Hash Table?

- A hash table (also hash map) is a data structure used to implement an associative array, a structure that can map keys to values
- A hash table contains a fixed size array (like vector). It is resized when necessary.
- A hash table uses a hash function to compute an index into an array of buckets or slots, from which the correct value can be found
- Key passes through a hash function
- Hash function input: key
- Hash function output: index into the array (where the value is stored)
- A hash table can be searched for an item in O(1) time! (Constant time!)


## What Is A Hash Function?

- Hash function: a function which, when applied to the key (any Java Object), produces an [unsigned integer value mod length-of-table] - an integer which can be used as an address in a hash table (index into an array of buckets)
- Hash functions have three required properties:

1. Must be deterministic [minimum requirement]
2. Must be fast
3. Must be evenly distributed

## What Is A Hash Index?

- Hash Index: A hash index organizes the search keys with their associated pointers into a hash file. It consists of a collection of buckets organized in an array. Through linked lists, multiple items can be associated with one index because of this Hash indices are often called buckets



## Hash Functions - Raise Your Hand If...

- I'm going to hash all of you into 10 buckets (0-9) by your birthday. (e.g., Nov. 18, 2001)
- The hash functions:
- By the decade of your birth year
- hash(birthday) = (year/10) \% 10

$$
\begin{aligned}
& 2001 / 10 \% 10=0.1=0(\text { hash index) } \\
& 1982 / 10 \% 10=8.2=8
\end{aligned}
$$

## Hash Functions - Raise Your Hand If...

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- The hash functions:
- By the decade of your birth year
- hash(birthday) = (year/10) \% 10
- By the last digit of your birth year
- hash(birthday) = year \% 10

$$
\begin{aligned}
& 2001 \% 10=1 \\
& 1982 \% 10=2
\end{aligned}
$$

## Hash Functions - Raise Your Hand If...

- I'm going to hash all of you into 10 buckets (0-9) by your birthday. (e.g., Nov. 18, 2001)
- The hash functions:
- By the decade of your birth year
- hash(birthday) = (year/10) \% 10
- By the last digit of your birth year
- hash(birthday) = year \% 10
- By the last digit of your birth month
- hash(birthday) = month \% 10

$$
\begin{array}{r}
11 \% 10=1 \\
7 \% 10=7
\end{array}
$$

Note:
Nov and Jan: same hash (1); Dec and Feb: same hash (2).

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- By the last digit of your birth year
- hash(birthday) = year \% 10
- By the last digit of your birth month
- hash(birthday) = month \% 10
- By the last digit of your birth day
- hash(birthday) = day \% 10
$18 \% 10=8$
$23 \% 10=3$


## Hash Functions In Java

- Let's look at Java Object API
- https://docs.oracle.com/javase/10/docs/api/java/lang/Object.html
- Specifically: https://docs.oracle.com/javase/10/docs/api/java/lang/Object.html\#hashCode()
- There is a method for this!!


## Hashing Example ( I )

- Key space: integers
- Table size: 10
- hash(k) $=k \bmod 10$
- Technically, hash $(\mathrm{k})=\mathrm{k}$, which is then mod'ed by the table size of 10
-Insert: 7, 18, 41, 34

- How do we find them?


## Hashing Example (2)

- Key space: integers
- Table size: 6
- hash(k) = k mod 6
- Size of the hash table is 6 (indices 0 through 5)
- Insert: 7, 18, 41, 34

- How do we find them?


## Hash Functions

## - Required properties described earlier:

1. Must be deterministic [minimum requirement]
2. Must be fast
3. Must be evenly distributed

- A uniform hash: when the indices produced by the hash function (into an array) are equally likely to be generated
- This implies avoiding collisions


## - A "perfect"/ "ideal" hash function:

- Will assign each key to a unique bucket (index)
- No blanks (i.e. no empty cells)
- No collisions

Rarely achievable in practice!

## Hash Function Notes

- They should always return an unsigned int
- Otherwise, your program will be trying to find a negative array index
- Integer overflow is fine, as long as it overflows deterministically
- Meaning the same way each time (how you handle a 'full' bucket)
- As mentioned, the ideal situation is rarely achievable in practice.
- Instead, most hash table designs assume that hash collisions -different keys that are assigned by the hash function to the same bucket- will occur and must be accommodated in some way


## Hash Function Notes

- In a well-dimensioned hash table, the average cost (number of instructions) for each lookup is independent of the number of elements stored in the table.
- In many situations, hash tables turn out to be more efficient than search trees or any other table lookup structure!
- For this reason, they are widely used in many kinds of computer software, particularly for associative arrays, database indexing, caches, and sets


## Collision Resolution

- Hash collision: when different keys are hashed (via a hash function) to the same index/ bucket (same location in the hash table)
- Two primary ways to resolve collisions:
- Separate Chaining (make each spot in the table a 'bucket' or a collection)
- Open Addressing, of which there are 3 types:
- Linear probing
- Quadratic probing
- Double hashing


## Separate Chaining

## Separate Chaining Example (I)

- All keys that map to the same hash value are kept in a "bucket"
- This "bucket" is another data structure, typically a linked list
- Table size: 10
- hash(k) $=k \bmod 10$
- Insert:

10, 22, 107, 12, 42
(0) (2)
(7)
(2) (2)

|  |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  |  |  |  |  |  |
| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |

## Analysis of Find

- Definition: The load factor, $\lambda$, of a hash table is the ratio of the number of elements divided by the table size
- For separate chaining, $\lambda$ is the average number of elements in a bucket
- Average time on unsuccessful find: $\lambda$
- Average length of a list at hash(k)
- Average time on successful find: $\sim(\lambda / 2)$
- Half the average length of a list (not including the item)


## Load Factor

## - How big should we make the hash table?

- Possible sizes for hash table with separate chaining
- $\lambda=1$
- Make hash table be the number of elements expected; average bucket size is 1
- $\lambda=0.75$
- Good trade-off between memory use and time
- $\lambda=0.5$
- Uses more memory, but fewer collisions


## Separate Chaining: Find()

- Given we keep several keys in one bucket when collisions happen, we have to store both the key as well as the value!
- What is the worst case?
- In the worst case, every key could hash to the same spot!
- This means it will be a $\boldsymbol{\Theta}(\mathbf{n})$ algorithm to perform a find!
- What is the "hopeful" case?


## What Data Structure To Use For The Buckets?

- AVL \& red-black trees will give the best running time
- But that's a lot of overhead!
- Vectors are easier, but take up a lot of space
- All those extra, unused, cells
- Don't ever use vectors for this! ©
- Linked lists are easy, and take up very little space
- That's $\Theta(\mathbf{n})$ !
- Still faster in practice than trees due to having a very small number of items in the bucket


## Requirements For The "Hopeful" Case

- Our ideal hash function and hash table:
- Function hash(k) is well distributed for key space
- For a randomly selected $k \in K$,
- probability(hash(k) = i) = 1/table_size
- Size of table scales linearly with number of elements
- Expected bucket size is $\Theta$ (num_elements / table_size)
- Finding a good hash function can be tough (Remember ideal hash functions rarely exist!)
$\rightarrow$ A good hash function is very unlikely to return the SAME code for UNEQUAL keys, but MUST return the SAME code for EQUAL keys
$\rightarrow$ A good hash function should create hash codes that permits the widest distribution of keys to various index values of the hash table (It should uniformly distribute the keys)
$\rightarrow$ A PERFECT hash function will create such a unique code for EACH key in the data that the probability of two keys having the same code is ZERO.


## Separate Chaining Insert Is $\Theta(\mathrm{I})$

- In an unsorted linked list, you can just put the newly inserted key on the front
- So, all inserts into a separate chained hash table, that uses linked lists, are actually in constant time
- If you insert at the head and you allow duplicates: constant time
- If you insert at the head and you do NOT allow duplicates: linear time (to check)
- If you were to sort the linked list, that would be linear time
- And finds (and thus deletes) are still linear time

