

CS 3100

Data Structures and Algorithms 2

Lecture 8: Divide and Conquer

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Spring 2024

Readings in CLRS 4th edition:

- Section 4.1-4.4

Announcements

- PS3 due tomorrow
- PA2 coming soon
- Office hours
 - Prof Hott Office Hours: Mondays 11a-12p, Fridays 10-11a and 2-3p
 - Prof Pettit Office Hours: Mondays and Wednesdays 2:30-4:00p
 - TA office hours posted on our website
- Quizzes 1-2 coming February 29, 2024
 - Both quizzes taken the same day
 - If you have SDAC, please schedule for 1 exam (*not a quiz*)

Divide and Conquer

[CLRS Chapter 4]

Divide:

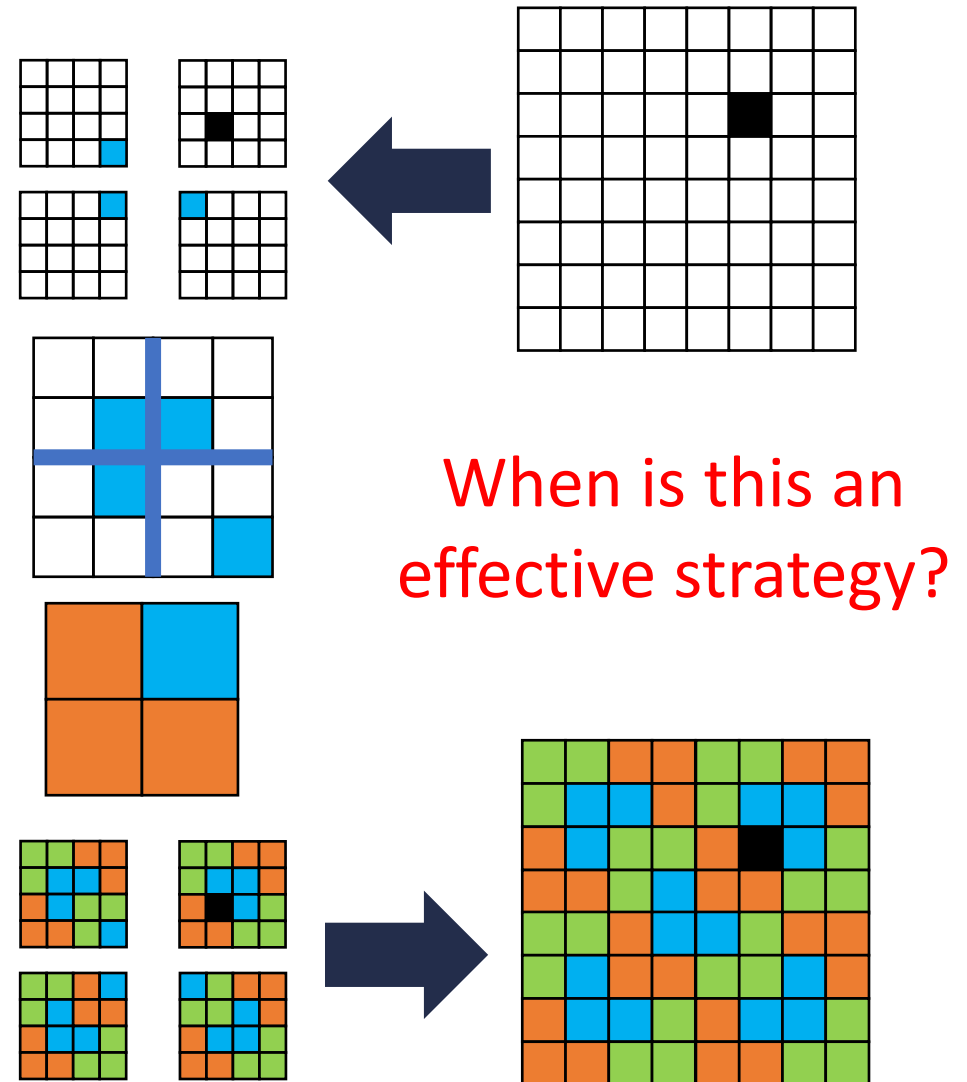
- Break the problem into multiple **subproblems**, each smaller instances of the original

Conquer:

- If the subproblems are “large”:
 - Solve each subproblem **recursively**
- If the subproblems are “small”:
 - Solve them directly (**base case**)

Combine:

- Merge solutions to subproblems to obtain solution for original problem



Multiplication

Want to multiply large numbers together

$$\begin{array}{r} 4102 \\ \times 1819 \\ \hline \end{array}$$

n-digit numbers

How do we measure input size?

number of digits

What do we “count” for run time?

number of elementary operations
(single-digit multiplications)

“Schoolbook” Multiplication

Can we do better?

How many multiplications?

$$\begin{array}{r} 4102 \\ \times 1819 \\ \hline 36918 \\ 4102 \\ 32816 \\ + 4102 \\ \hline 7461538 \end{array}$$

n -digit numbers

What about cost of additions?

$\Theta(n^2)$

n mults
 n mults
 n mults
 n mults

} n levels
 $\Rightarrow \Theta(n^2)$

Divide and Conquer Multiplication

1. Break into smaller **subproblems**

$$\begin{array}{r} \boxed{a} \boxed{b} \\ \times \boxed{c} \boxed{d} \\ \hline \end{array} = 10^{\frac{n}{2}} \boxed{a} + \boxed{b} \\ = 10^{\frac{n}{2}} \boxed{c} + \boxed{d}$$
$$= 10^n (\boxed{a} \times \boxed{c}) +$$
$$10^{\frac{n}{2}} (\boxed{a} \times \boxed{d} + \boxed{b} \times \boxed{c}) +$$
$$(\boxed{b} \times \boxed{d})$$

Divide and Conquer Multiplication

Divide:

- Break n -digit numbers into four numbers of $n/2$ digits each (call them a, b, c, d)

Conquer:

- If $n > 1$:
 - Recursively compute ac, ad, bc, bd
- If $n = 1$: (i.e. one digit each)
 - Compute ac, ad, bc, bd directly (base case)

Combine:

- $10^n(ac) + 10^{n/2}(ad + bc) + bd$

For simplicity, assume that $n = 2^k$ is a power of 2

Divide and Conquer Multiplication

2. Use **recurrence** relation to express recursive running time

$$10^n(ac) + 10^{n/2}(ad + bc) + bd$$

Recursively solve

$$T(n)$$

Divide and Conquer Multiplication

2. Use **recurrence** relation to express recursive running time

$$10^n(ac) + 10^{n/2}(ad + bc) + bd$$

Recursively solve

$$T(n) = 4T\left(\frac{n}{2}\right)$$

Need to compute 4 multiplications,
each of size $n/2$

Divide and Conquer Multiplication

2. Use **recurrence** relation to express recursive running time

$$10^n(ac) + 10^{n/2}(ad + bc) + bd$$

Recursively solve

$$T(n) = 4T\left(\frac{n}{2}\right) + 5n$$

Need to compute 4 multiplications,
each of size $n/2$

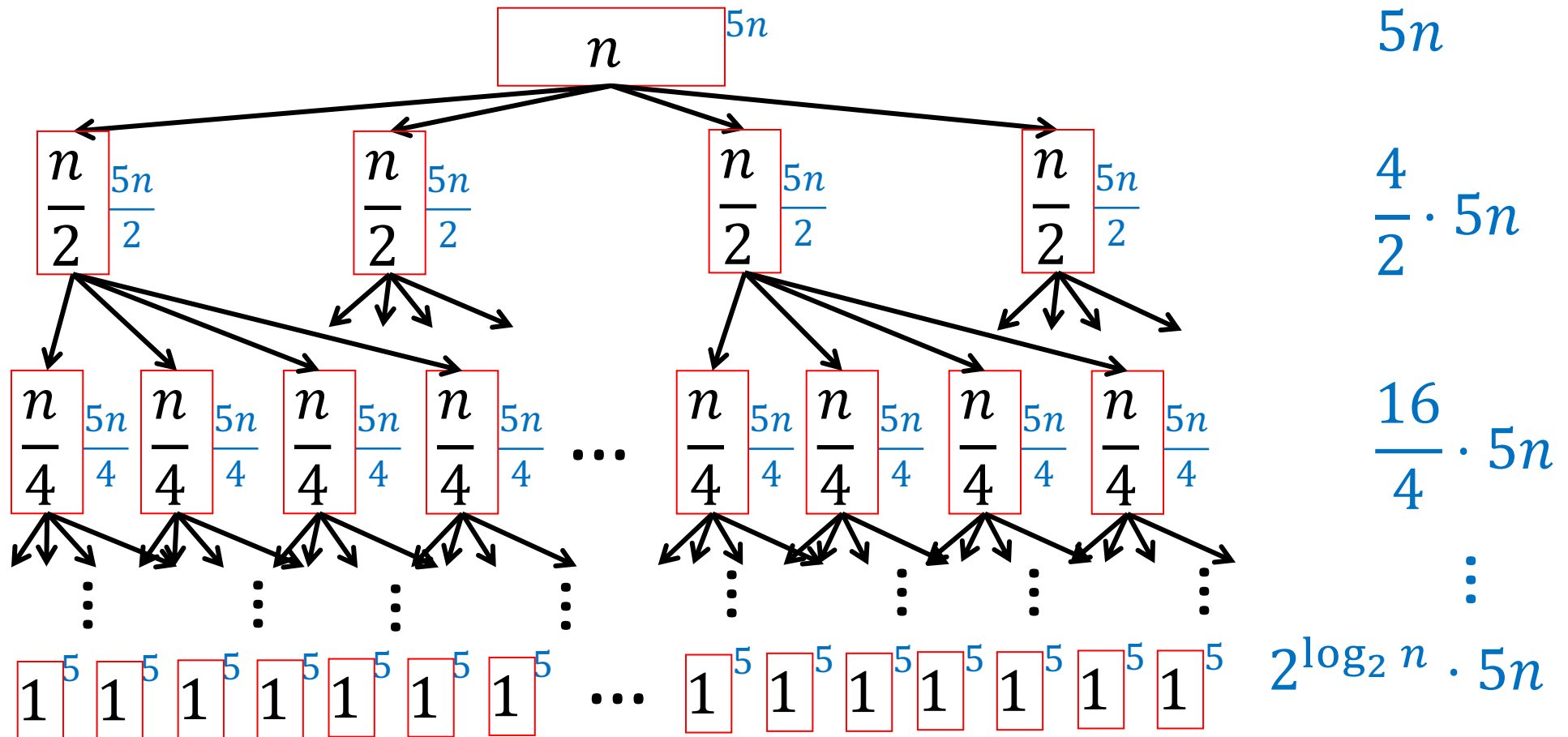
2 shifts and 3 additions
on n -bit values

Divide and Conquer Multiplication

3. Use asymptotic notation to simplify

$$T(n) = 4T\left(\frac{n}{2}\right) + 5n$$

$$T(n) = 5n \sum_{i=0}^{\log_2 n} 2^i$$



Divide and Conquer Multiplication

3. Use **asymptotic** notation to simplify

$$T(n) = 4T\left(\frac{n}{2}\right) + 5n$$

$$T(n) = 5n \sum_{i=0}^{\log_2 n} 2^i$$

$$T(n) = 5n \frac{2^{\log_2 n + 1} - 1}{2 - 1}$$

$$T(n) = 5n(2n - 1) = \Theta(n^2)$$

No better than the schoolbook method!

Karatsuba Multiplication

1. Break into smaller **subproblems**

$$\begin{array}{r} \boxed{a} \boxed{b} \\ \times \boxed{c} \boxed{d} \\ \hline \end{array} = 10^{\frac{n}{2}} \boxed{a} + \boxed{b} \\ = 10^{\frac{n}{2}} \boxed{c} + \boxed{d}$$
$$= 10^n (\boxed{a} \times \boxed{c}) +$$
$$10^{\frac{n}{2}} (\boxed{a} \times \boxed{d} + \boxed{b} \times \boxed{c}) +$$
$$(\boxed{b} \times \boxed{d})$$

Recall: previous divide-and-conquer recursively computed ac, ad, bc, bd

Karatsuba Multiplication

$$10^n \boxed{ac} + 10^{\frac{n}{2}} \boxed{ad + bc} + \boxed{bd}$$

$$\begin{array}{r} \boxed{a} \boxed{b} \\ \times \boxed{c} \boxed{d} \\ \hline \end{array}$$

Can't avoid these

This can be
simplified!

$$(a + b)(c + d) =$$

$$\boxed{ac} + \boxed{ad + bc} + \boxed{bd}$$

$$\boxed{ad + bc} = \boxed{(a + b)(c + d) - \boxed{ac} - \boxed{bd}}$$

Two
multiplications

One multiplication

Karatsuba Multiplication

2. Use **recurrence** relation to express recursive running time

$$10^n(ac) + 10^{n/2}((a + b)(c + d) - ac - bd) + bd$$

A diagram illustrating the Karatsuba multiplication of two-digit numbers. The first number is represented by two yellow boxes containing 'a' and 'b'. The second number is represented by two yellow boxes containing 'c' and 'd'. A multiplication sign '×' is positioned to the left of the second number. A horizontal line is drawn below the second number, indicating the start of the multiplication process.

Recursively solve

$$T(n) =$$

Karatsuba Multiplication

2. Use **recurrence** relation to express recursive running time

$$10^n(ac) + 10^{n/2}((a + b)(c + d) - ac - bd) + bd$$

$$\begin{array}{r} a \quad b \\ \times c \quad d \\ \hline \end{array}$$

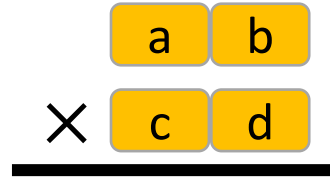
Recursively solve

$$T(n) = 3T\left(\frac{n}{2}\right)$$

Need to compute 3 multiplications, each of size $n/2$: ac , bd , $(a + b)(b + c)$

Karatsuba Multiplication

2. Use **recurrence** relation to express recursive running time



$$10^n(ac) + 10^{n/2}((a + b)(c + d) - ac - bd) + bd$$

Recursively solve

$$T(n) = 3T\left(\frac{n}{2}\right) + 8n$$

Need to compute 3 multiplications, each of size $n/2$: ac , bd , $(a + b)(b + c)$

2 shifts and 6 additions on n -bit values

Karatsuba Multiplication

Divide:

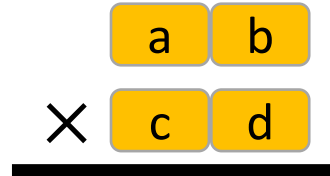
- Break n -digit numbers into four numbers of $n/2$ digits each (call them a, b, c, d)

Conquer:

- If $n > 1$:
 - Recursively compute $ac, bd, (a + b)(c + d)$
- If $n = 1$:
 - Compute $ac, bd, (a + b)(c + d)$ directly (base case)

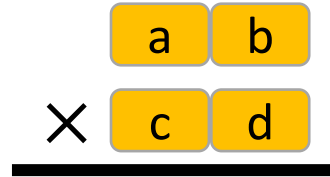
Combine:

- $10^n(ac) + 10^{n/2}((a + b)(c + d) - ac - bd) + bd$



Karatsuba Multiplication

1. Recursively compute: $ac, bd, (a + b)(c + d)$
2. $(ad + bc) = (a + b)(c + d) - ac - bd$
3. Return $10^n(ac) + 10^{\frac{n}{2}}(ad + bc) + bd$



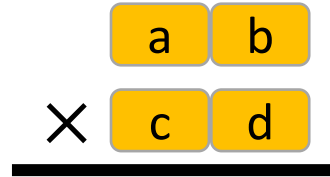
Pseudocode:

1. $x \leftarrow \text{Karatsuba}(a, c)$
2. $y \leftarrow \text{Karatsuba}(a, d)$
3. $z \leftarrow \text{Karatsuba}(a + b, c + d) - x - y$
4. Return $10^n x + 10^{n/2} z + y$

$$T(n) = 3T\left(\frac{n}{2}\right) + 8n$$

Karatsuba Multiplication

1. Recursively compute: $ac, bd, (a + b)(c + d)$
2. $(ad + bc) = (a + b)(c + d) - ac - bd$
3. Return $10^n(ac) + 10^{\frac{n}{2}}(ad + bc) + bd$



Pseudocode:

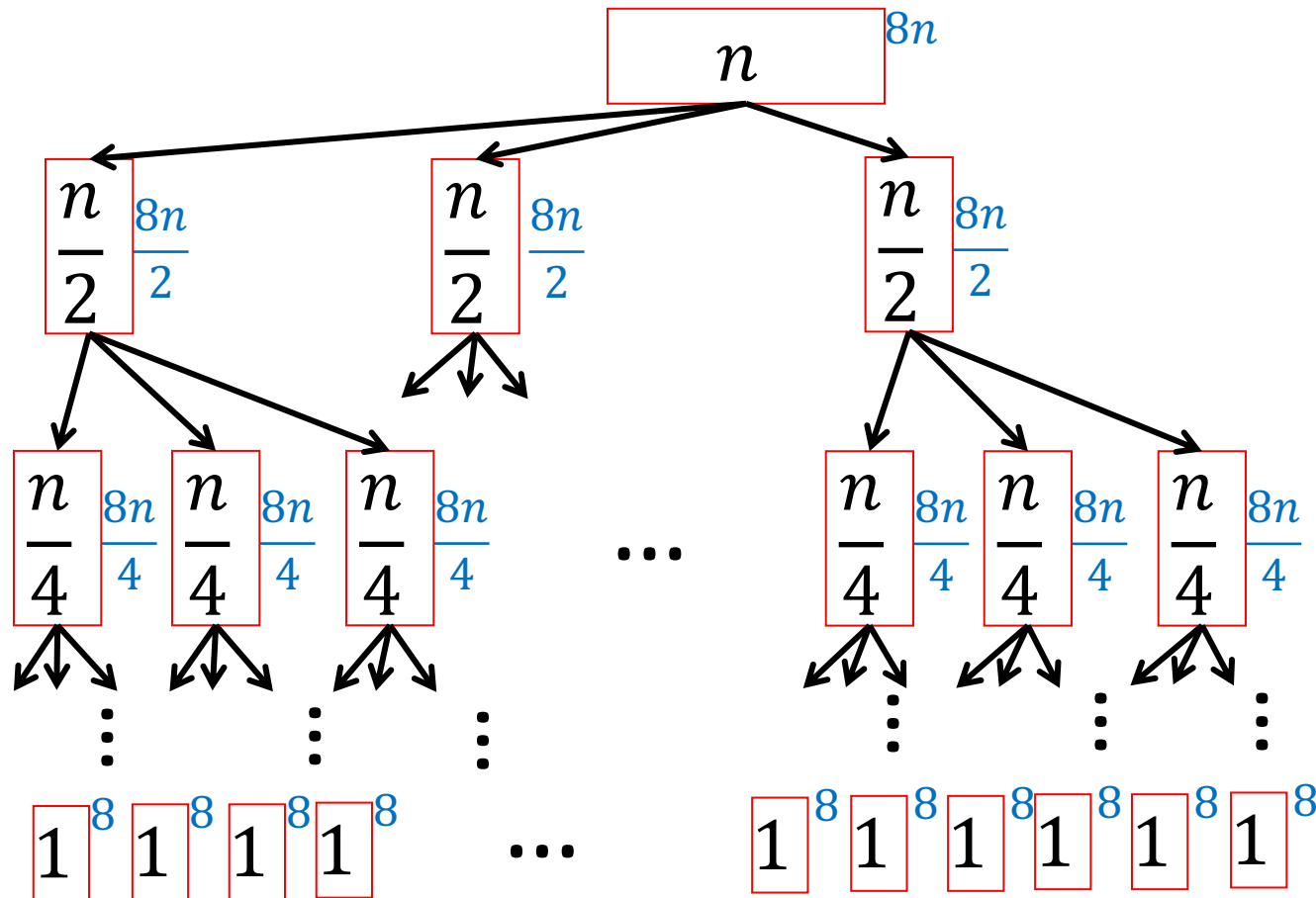
1. $x \leftarrow \text{Karatsuba}(a, c)$
2. $y \leftarrow \text{Karatsuba}(a, d)$
3. $z \leftarrow \text{Karatsuba}(a + b, c + d) - x - y$
4. Return $10^n x + 10^{n/2} z + y$

$$T(n) = 3T\left(\frac{n}{2}\right) + 8n$$

Karatsuba

3. Use asymptotic notation to simplify

$$T(n) = 3T\left(\frac{n}{2}\right) + 8n$$



$$T(n) = 8n \sum_{i=0}^{\log_2 n} \left(\frac{3}{2}\right)^i$$

$$8n \cdot 1$$

$$8n \cdot \frac{3}{2}$$

$$8n \cdot \frac{9}{4}$$

$$\vdots$$

$$8n \cdot \frac{3^{\log_2 n}}{2^{\log_2 n}}$$

Karatsuba

3. Use asymptotic notation to simplify

$$T(n) = 3T\left(\frac{n}{2}\right) + 8n$$

$$T(n) = 8n \sum_{i=0}^{\log_2 n} (3/2)^i$$

$$T(n) = 8n \frac{(3/2)^{\log_2 n + 1} - 1}{3/2 - 1}$$

Math, math, and more math...(on board, see lecture supplement)

Karatsuba

Karatsuba

Karatsuba Multiplication

$$T(n) = 8n \frac{(3/2)^{\log_2 n + 1} - 1}{3/2 - 1}$$

How to simplify this
(using asymptotic notation)?

Drop **constant** multiples

Karatsuba Multiplication

$$T(n) = 8n \frac{(3/2)^{\log_2 n+1} - 1}{3/2 - 1}$$

$$= \Theta \left(n \left((3/2)^{\log_2 n+1} - 1 \right) \right)$$

$$= \Theta \left(\frac{3}{2} n \cdot (3/2)^{\log_2 n} - n \right)$$

How to simplify this
(using asymptotic notation)?

Drop **constant** multiples

Distribute terms

Karatsuba Multiplication

$$T(n) = 8n \frac{(3/2)^{\log_2 n + 1} - 1}{3/2 - 1}$$

$$= \Theta \left(n \left((3/2)^{\log_2 n + 1} - 1 \right) \right)$$

$$= \Theta \left(\frac{3}{2} n \cdot (3/2)^{\log_2 n} - n \right)$$

$$= \Theta \left(n \cdot (3/2)^{\log_2 n} \right)$$

How to simplify this
(using asymptotic notation)?

Drop **constant** multiples

Distribute terms

Drop **constants** and **low-order terms**

Karatsuba Multiplication

$$T(n) = \Theta\left(n \cdot \left(\frac{3}{2}\right)^{\log_2 n}\right)$$

How to simplify this
(using asymptotic notation)?

Properties of logarithms:

$$2^{\log_2 n} = n$$

$$3^{\log_2 n} = 2^{\log_2(3^{\log_2 n})} = 2^{(\log_2 n)(\log_2 3)} = \left(2^{\log_2 n}\right)^{\log_2 3} = n^{\log_2 3}$$

$$2^{\log_2 n} = n$$

$$\log a^b = b \log a$$

$$2^{\log_2 n} = n$$

$$a^{bc} = (a^b)^c$$

Karatsuba Multiplication

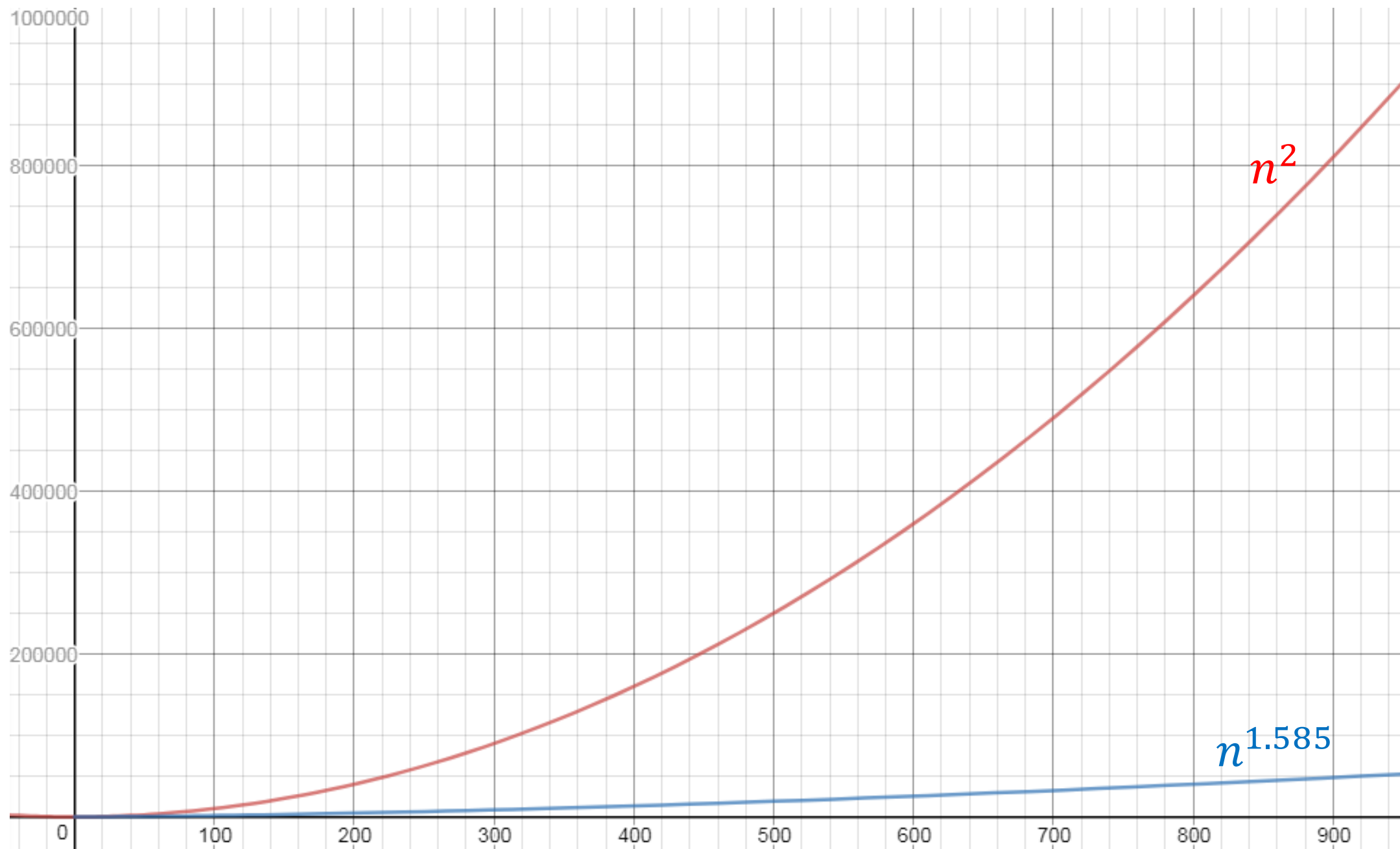
$$\begin{aligned}T(n) &= \Theta\left(n \cdot \left(\frac{3}{2}\right)^{\log_2 n}\right) \\&= \Theta\left(n \cdot \left(\frac{3^{\log_2 n}}{2^{\log_2 n}}\right)\right) \\&= \Theta\left(n \cdot \left(\frac{n^{\log_2 3}}{n}\right)\right) \\&= \Theta\left(n^{\log_2 3}\right) \approx \Theta\left(n^{1.585}\right)\end{aligned}$$

How to simplify this
(using asymptotic notation)?

$$2^{\log_2 n} = n$$

$$3^{\log_2 n} = n^{\log_2 3}$$

Strictly better than
schoolbook method!



Analyzing Divide and Conquer

1. Break into smaller **subproblems**
2. Use **recurrence** relation to express recursive running time
3. Use **asymptotic** notation to simplify

Divide: $D(n)$ time

Conquer: Recurse on smaller problems of size s_1, \dots, s_k

Combine: $C(n)$ time

Recurrence:

- $T(n) = D(n) + \sum_{i \in [k]} T(s_i) + C(n)$

Recurrence Solving Techniques



Tree

get a picture of recursion



Guess/Check

guess and use induction to prove



“Cookbook”

MAGIC!



Substitution

substitute in to simplify

Recurrence Solving Techniques



Tree



Guess/Check

(induction)



“Cookbook”



Substitution

Guess and Check Blueprint

Show: $T(n) = O(g(n))$

Consider: $g_*(n) = c \cdot g(n)$ for some constant c

Goal: show $\exists n_0$ such that $\forall n > n_0, T(n) \leq g_*(n)$

- (definition of big-O)

Technique: Induction

- **Base cases:**
 - Show $T(1) \leq g_*(1)$ (sometimes, may need to consider additional base cases)
- **Hypothesis:**
 - $\forall n \leq x_0, T(n) \leq g_*(n)$
- **Inductive step:**
 - Show that $T(x_0 + 1) \leq g_*(x_0 + 1)$

Need to ensure that in inductive step, can either appeal to a base case or to the inductive hypothesis

Mergesort Guess and Check

$$T(n) = 2T(n/2) + n$$

Karatsuba Analysis using Guess and Check

$$T(n) = 3T(n/2) + 8n$$

Goal:

$$T(n) \leq 3000 n^{1.6} = O(n^{1.6})$$

Base case:

$$T(1) = 8 \leq 3000$$

Hypothesis:

$$\forall n \leq x_0, T(n) \leq 3000n^{1.6}$$

Inductive step:

$$\text{Show } T(x_0 + 1) \leq 3000(x_0 + 1)^{1.6}$$

Karatsuba Guess and Check (Loose)

$$T(n) = 3T(n/2) + 8n$$

Hypothesis: $\forall n \leq x_0: T(n) \leq 3000n^{1.6}$

Show: $T(x_0 + 1) \leq 3000(x_0 + 1)^{1.6}$

$$T(x_0 + 1) = 3T\left(\frac{x_0 + 1}{2}\right) + 8(x_0 + 1) \quad \text{Recurrence definition}$$

$$\leq 3\left(3000\left(\frac{x_0 + 1}{2}\right)^{1.6}\right) + 8(x_0 + 1) \quad \text{Inductive hypothesis}$$

Karatsuba Guess and Check (Loose)

Karatsuba Guess and Check (Loose)

$$T(x_0 + 1) = 3T\left(\frac{x_0 + 1}{2}\right) + 8(x_0 + 1)$$

Recurrence definition

$$\leq 3\left(3000\left(\frac{x_0 + 1}{2}\right)^{1.6}\right) + 8(x_0 + 1)$$

Inductive hypothesis

$$\leq 3\left(3000\left(\frac{x_0 + 1}{2}\right)^{1.6}\right) + 8(x_0 + 1)^{1.6}$$

$\forall x \geq 0: x^{1.6} \geq x$

$$= \left(\frac{9000}{2^{1.6}} + 8\right)(x_0 + 1)^{1.6}$$

Distributive property

$$\leq 3000(x_0 + 1)^{1.6}$$

$\frac{9000}{2^{1.6}} + 8 \leq 3000$

Show: $T(x_0 + 1) \leq 3000(x_0 + 1)^{1.6}$

Recurrence Solving Techniques



Tree



Guess/Check



“Cookbook”



Substitution

Observation

Divide: $D(n)$ time

Conquer: Recurse on smaller problems of size s_1, \dots, s_k

Combine: $C(n)$ time

Recurrence:

- $T(n) = D(n) + \sum_{i \in [k]} T(s_i) + C(n)$

Many divide and conquer algorithms have recurrences are of form:

- $T(n) = a \cdot T(n/b) + f(n)$

a and b are constants

Mergesort: $T(n) = 2T(n/2) + n$

Divide and Conquer Multiplication: $T(n) = 4T(n/2) + 5n$

Karatsuba Multiplication: $T(n) = 3T(n/2) + 8n$

General Recurrence

$$T(n) = \sum_{i=0}^{\log_b n} a^i \cdot f\left(\frac{n}{b^i}\right)$$

$$T(n) = aT(n/b) + f(n)$$

Number of subproblems

Cost of subproblem

1

$f(n)$

a

$f(n/b)$

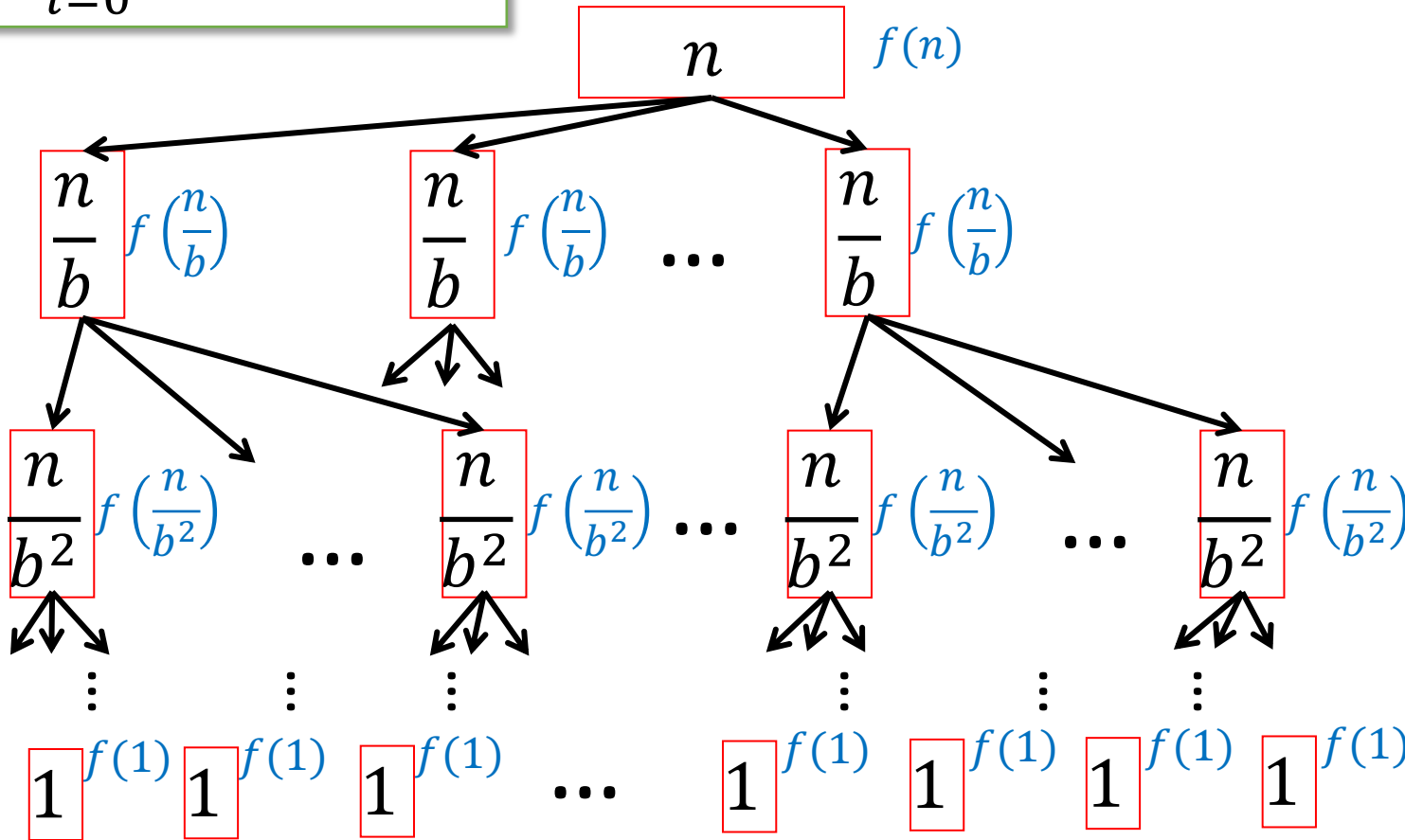
a^2

$f(n/b^2)$

a^k

$f(n/b^k)$

k levels



General Recurrence

$$k = \log_b n$$

An aside:

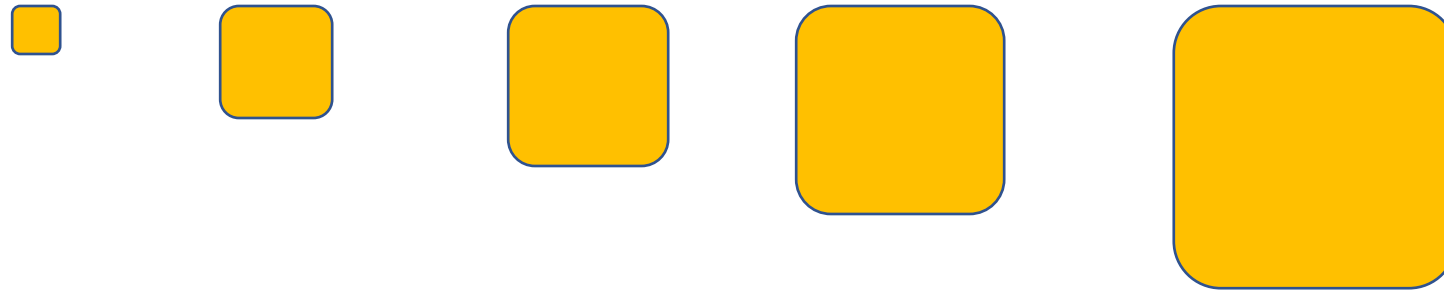
$$a^{\log_b n} =$$

Three Cases

$$T(n) = f(n) + af\left(\frac{n}{b}\right) + a^2f\left(\frac{n}{b^2}\right) + a^3f\left(\frac{n}{b^3}\right) + \dots + a^kf\left(\frac{n}{b^k}\right)$$

$$k = \log_b n$$

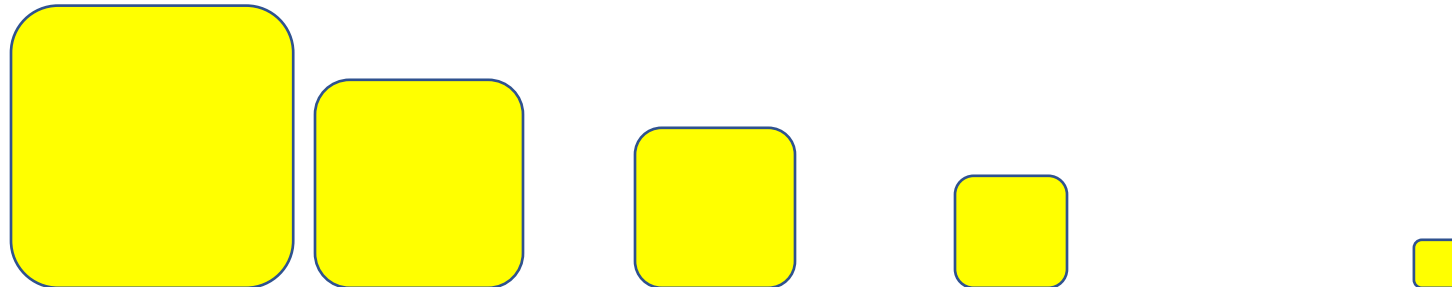
Case 1:
Most work happens
at the leaves



Case 2:
Work happens
consistently throughout



Case 3:
Most work happens
at top of tree



Master Theorem

$$T(n) = aT(n/b) + f(n)$$

$$\delta = \log_b a$$

	Requirement on f	Implication
Case 1	$f(n) \in O(n^{\delta-\varepsilon})$ for some constant $\varepsilon > 0$	$T(n) \in \Theta(n^\delta)$

Master Theorem

$$T(n) = aT(n/b) + f(n)$$

$$\delta = \log_b a$$

	Requirement on f	Implication
Case 1	$f(n) \in O(n^{\delta-\varepsilon})$ for some constant $\varepsilon > 0$	$T(n) \in \Theta(n^\delta)$
Case 2	$f(n) \in \Theta(n^\delta)$	$T(n) \in \Theta(n^\delta \log n)$

Master Theorem

$$T(n) = aT(n/b) + f(n)$$

$$\delta = \log_b a$$

	Requirement on f	Implication
Case 1	$f(n) \in O(n^{\delta-\varepsilon})$ for some constant $\varepsilon > 0$	$T(n) \in \Theta(n^\delta)$
Case 2	$f(n) \in \Theta(n^\delta)$	$T(n) \in \Theta(n^\delta \log n)$
Case 3	$f(n) \in \Omega(n^{\delta+\varepsilon})$ for some constant $\varepsilon > 0$ AND $af\left(\frac{n}{b}\right) \leq cf(n)$ for constant $c < 1$ and sufficiently large n	$T(n) \in \Theta(f(n))$

Master Theorem Example 1

$$T(n) = aT\left(\frac{n}{b}\right) + f(n)$$

Case 1: if $f(n) = O(n^{\log_b a - \varepsilon})$ for some constant $\varepsilon > 0$, then $T(n) = \Theta(n^{\log_b a})$

Case 2: if $f(n) = \Theta(n^{\log_b a})$, then $T(n) = \Theta(n^{\log_b a} \log n)$

Case 3: if $f(n) = \Omega(n^{\log_b a + \varepsilon})$ for some constant $\varepsilon > 0$, and if $af\left(\frac{n}{b}\right) \leq cf(n)$ for some constant $c < 1$ and all sufficiently large n , then $T(n) = \Theta(f(n))$

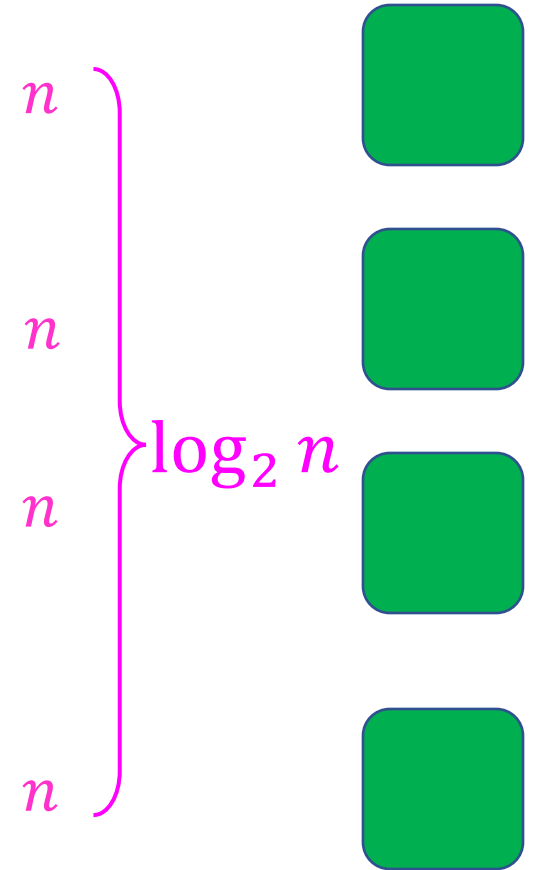
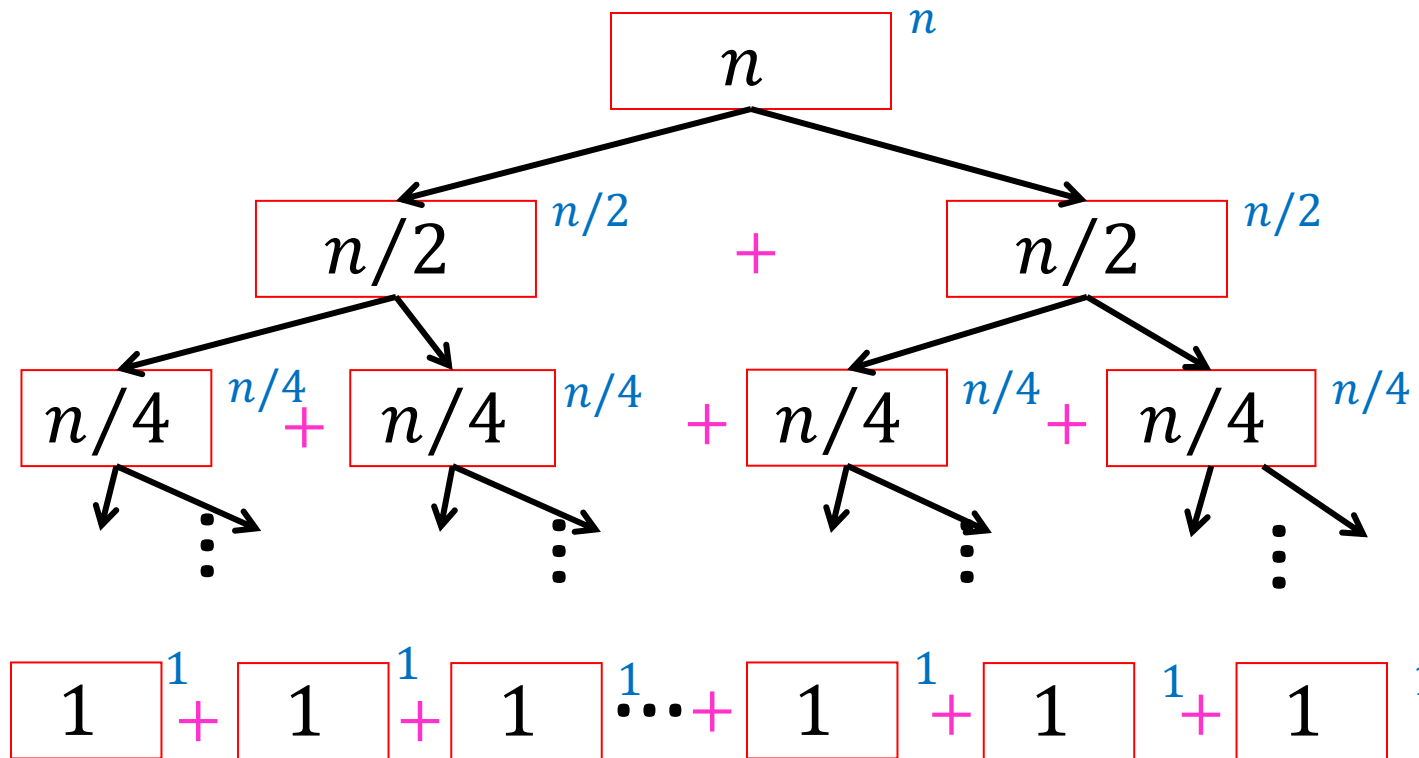
$$T(n) = 2T\left(\frac{n}{2}\right) + n$$

Case 2

$$\Theta(n^{\log_2 2} \log n) = \Theta(n \log n)$$

Tree method

$$T(n) = 2T\left(\frac{n}{2}\right) + n$$



Master Theorem Example 2

$$T(n) = aT\left(\frac{n}{b}\right) + f(n)$$

Case 1: if $f(n) = O(n^{\log_b a - \varepsilon})$ for some constant $\varepsilon > 0$, then $T(n) = \Theta(n^{\log_b a})$

Case 2: if $f(n) = \Theta(n^{\log_b a})$, then $T(n) = \Theta(n^{\log_b a} \log n)$

Case 3: if $f(n) = \Omega(n^{\log_b a + \varepsilon})$ for some constant $\varepsilon > 0$, and if $af\left(\frac{n}{b}\right) \leq cf(n)$ for some constant $c < 1$ and all sufficiently large n , then $T(n) = \Theta(f(n))$

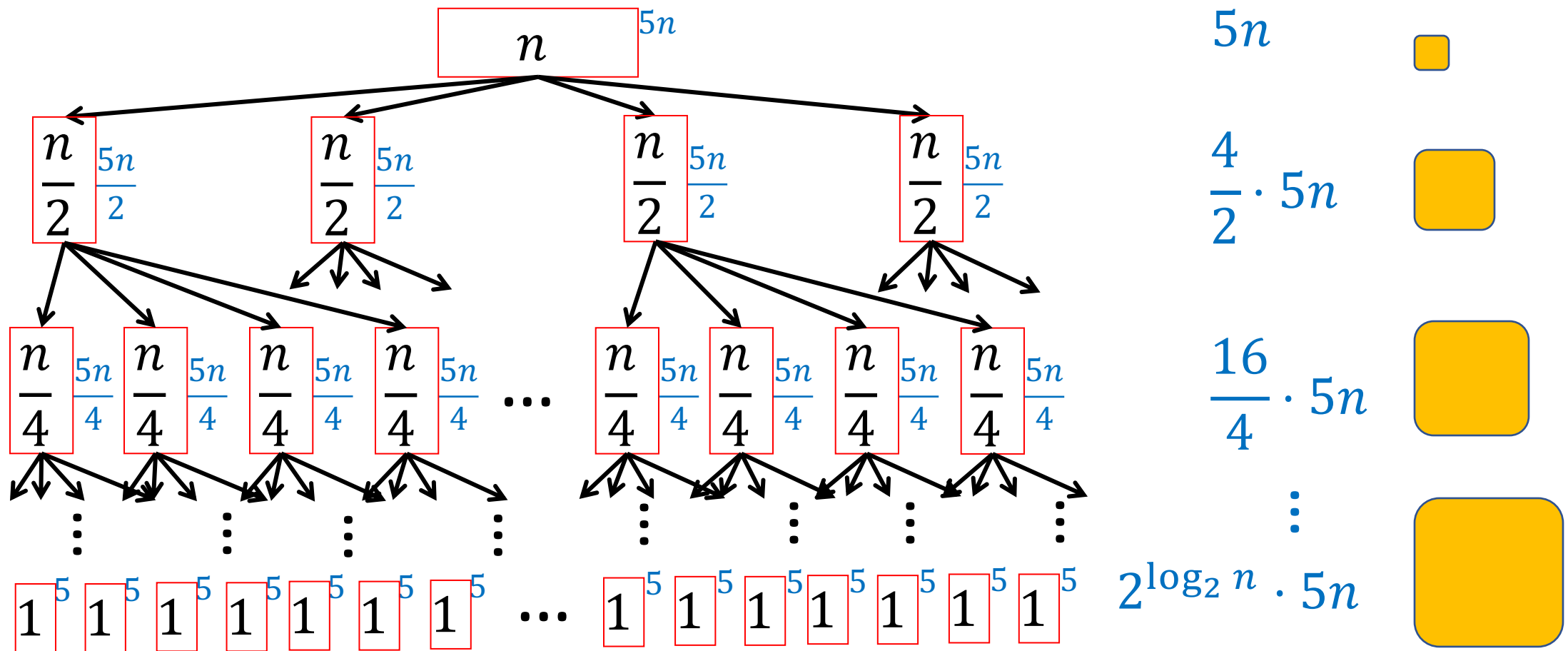
$$T(n) = 4T\left(\frac{n}{2}\right) + 5n$$

Case 1

$$\Theta(n^{\log_2 4}) = \Theta(n^2)$$

Tree method

$$T(n) = 4T\left(\frac{n}{2}\right) + 5n$$

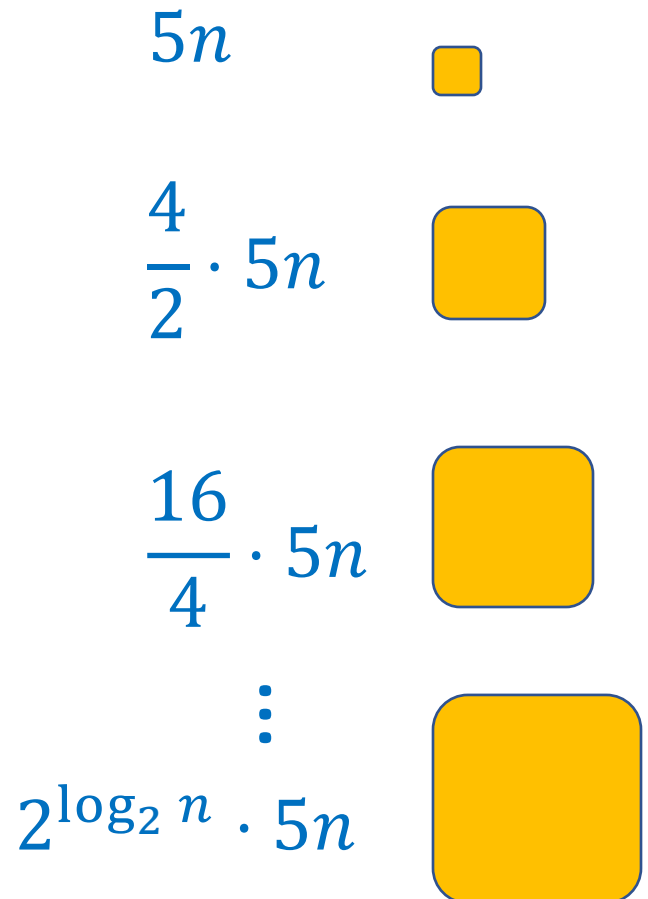


Tree method

$$T(n) = 4T\left(\frac{n}{2}\right) + 5n$$

Cost is increasing with the recursion depth
(due to large number of subproblems)

Most of the work happening in the leaves



Master Theorem Example 3

$$T(n) = aT\left(\frac{n}{b}\right) + f(n)$$

Case 1: if $f(n) = O(n^{\log_b a - \varepsilon})$ for some constant $\varepsilon > 0$, then $T(n) = \Theta(n^{\log_b a})$

Case 2: if $f(n) = \Theta(n^{\log_b a})$, then $T(n) = \Theta(n^{\log_b a} \log n)$

Case 3: if $f(n) = \Omega(n^{\log_b a + \varepsilon})$ for some constant $\varepsilon > 0$, and if $af\left(\frac{n}{b}\right) \leq cf(n)$ for some constant $c < 1$ and all sufficiently large n , then $T(n) = \Theta(f(n))$

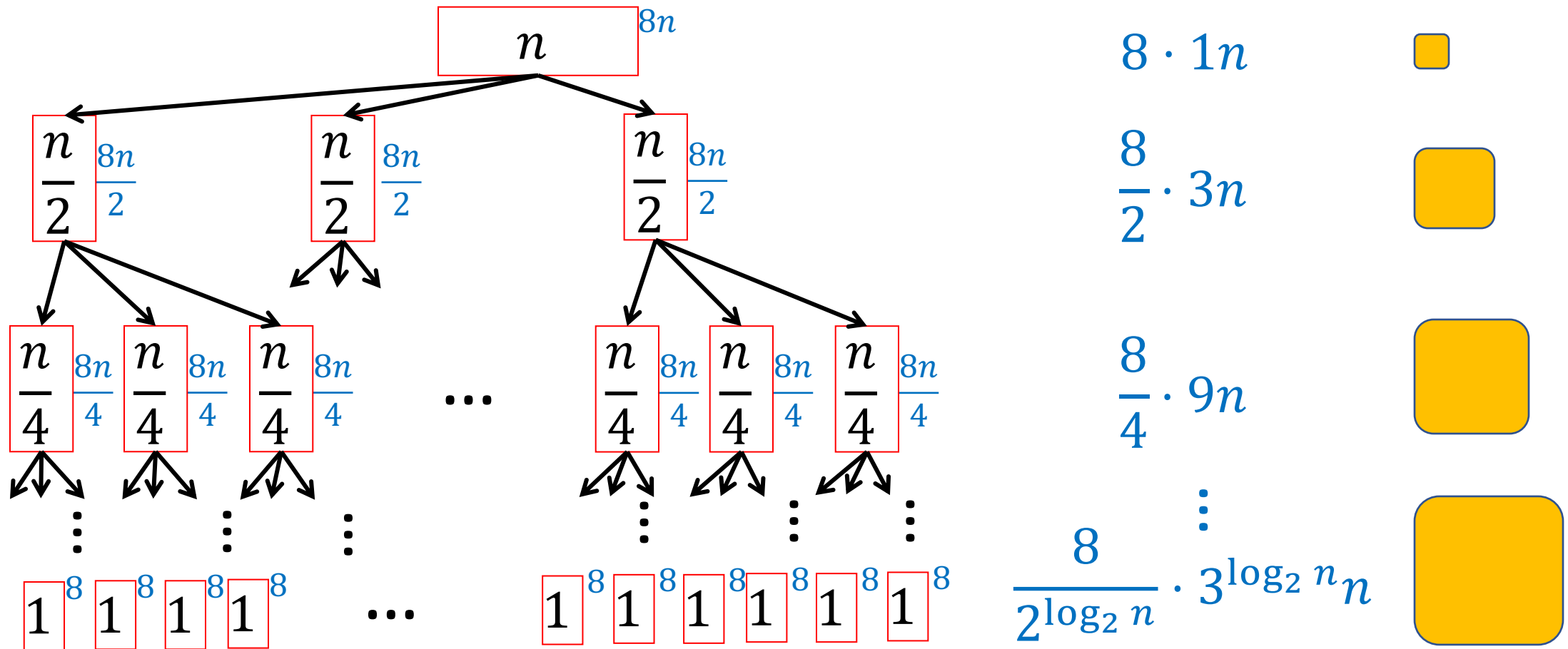
$$T(n) = 3T\left(\frac{n}{2}\right) + 8n$$

Case 1

$$\Theta(n^{\log_2 3}) \approx \Theta(n^{1.585})$$

Karatsuba

$$T(n) = 3T\left(\frac{n}{2}\right) + 8n$$



Master Theorem Example 4

$$T(n) = aT\left(\frac{n}{b}\right) + f(n)$$

Case 1: if $f(n) = O(n^{\log_b a - \varepsilon})$ for some constant $\varepsilon > 0$, then $T(n) = \Theta(n^{\log_b a})$

Case 2: if $f(n) = \Theta(n^{\log_b a})$, then $T(n) = \Theta(n^{\log_b a} \log n)$

Case 3: if $f(n) = \Omega(n^{\log_b a + \varepsilon})$ for some constant $\varepsilon > 0$, and if $af\left(\frac{n}{b}\right) \leq cf(n)$ for some constant $c < 1$ and all sufficiently large n , then $T(n) = \Theta(f(n))$

$$T(n) = 2T\left(\frac{n}{2}\right) + 15n^3$$

Case 3

Master Theorem Example 4

$$T(n) = aT\left(\frac{n}{b}\right) + f(n)$$

Case 1: if $f(n) = O(n^{\log_b a - \varepsilon})$ for some constant $\varepsilon > 0$, then $T(n) = \Theta(n^{\log_b a})$

Case 2: if $f(n) = \Theta(n^{\log_b a})$, then $T(n) = \Theta(n^{\log_b a} \log n)$

Case 3: if $f(n) = \Omega(n^{\log_b a + \varepsilon})$ for some constant $\varepsilon > 0$, and if $af\left(\frac{n}{b}\right) \leq cf(n)$ for some constant $c < 1$ and all sufficiently large n , then $T(n) = \Theta(f(n))$

$$T(n) = 2T\left(\frac{n}{2}\right) + 15n^3$$

Case 3

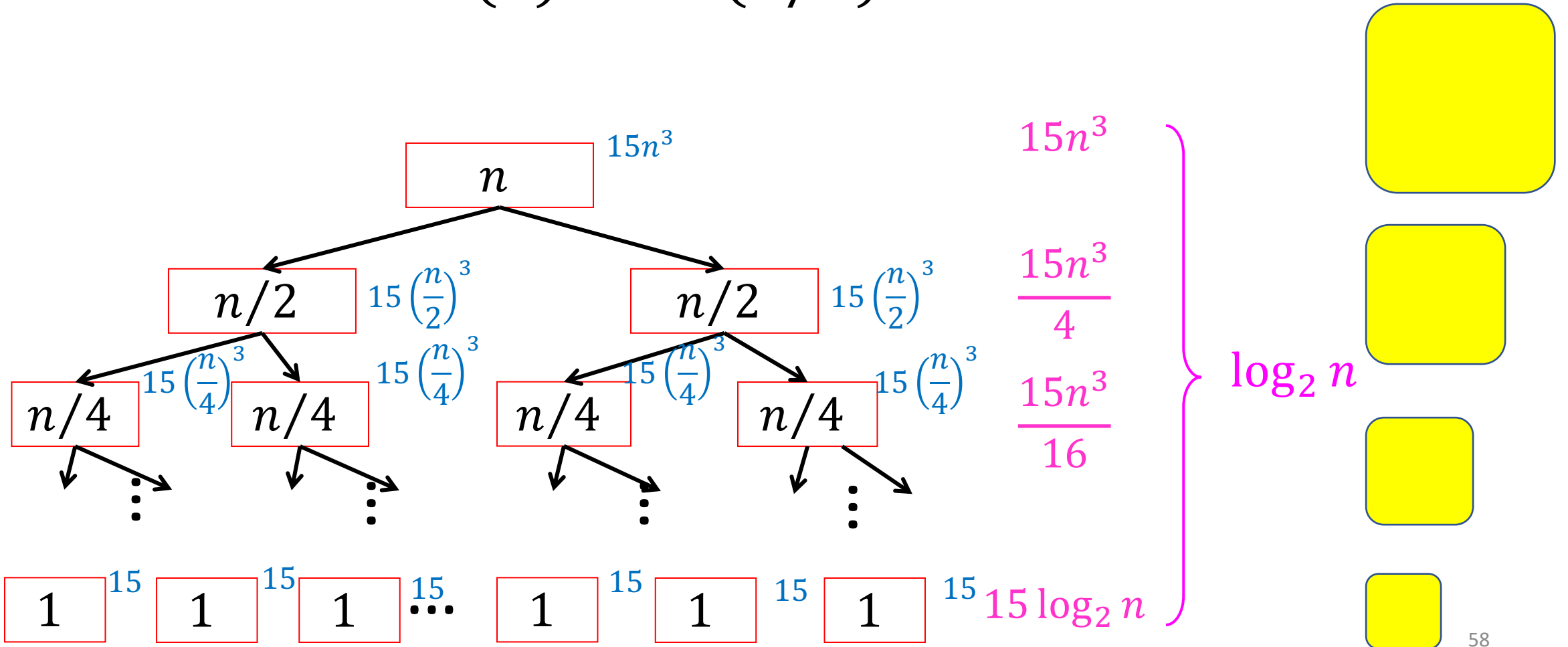
$$\Theta(n^3)$$

Important: For Case 3, need to additionally check that $2f(n/2) \leq cf(n)$ for constant $c < 1$ and sufficiently large n

$$2f(n/2) = 30(n/2)^3 = \frac{30}{8}n^3 \leq \frac{1}{4}(15n^3)$$

Master Theorem Example 4 (Visually)

$$T(n) = 2T(n/2) + 15n^3$$



Master Theorem Example 4 (Visually)

$$T(n) = 2T(n/2) + 15n^3$$

Cost is decreasing with the recursion depth
(due to high *non-recursive* cost)

Most of the work happening at the top

$$15n^3$$

$$\frac{15n^3}{4}$$

$$\frac{15n^3}{16}$$

$$15 \log_2 n$$

$\log_2 n$

