

# CS 3100

## Data Structures and Algorithms 2

### Lecture 12: Intro. to Greedy Algorithms

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**Spring 2024**

Readings in CLRS 4<sup>th</sup> edition:

- Chapter 15. (Today, 15.1 and 15.2)

# Announcements

- Quizzes 1-2 Thursday
  - Both quizzes taken the same day
  - Information on our class website
  - Review Session tonight at 6:30pm in Chem 402
  - If you have SDAC, please schedule for 1 exam (*not a quiz*)
- PS5 Coming Soon!
- Course email: [cs3100@cshelpdesk.atlassian.net](mailto:cs3100@cshelpdesk.atlassian.net)
- Office hours
  - No office hours this Sunday or over Spring Break. We'll start back next Sunday (but please check the calendar)
  - Office hours are not for "checking solutions"

# Coin Changing: A “Simple” Algorithm

Finding the correct change with minimum number of coins

**Problem:** After someone has paid you cash for something, you must:

- Give back the right amount of change, and...
- Return the fewest number of coins!

**Inputs:** the dollar-amount to return

- Also, the set of possible coins

**Output:** a set of coins

Let's talk about this in more detail

# Coin Changing: A “Simple” Algorithm

**Imagine a world without computerized cash registers!**

*The problem:* Given an unlimited quantities of pennies, nickels, dimes, and quarters (worth value 1, 5, 10, 25 respectively), determine a set of coins (the *change*) for a given value  $x$  using the fewest number of coins.



# How Would You Solve This?

Would this be your algorithm?

- Generate each possible set of coins that sum to  $x$ .
- Determine which of these sets has the fewest coins.

No, this is probably *not at all* what you thought of doing!

- It's correct. But it's a *brute force* approach.

What would you do?

- Take a moment and try to describe your approach as an algorithm.

# Change Making Algorithm

Given: target value  $x$ , list of coins  $C = [c_1, \dots, c_k]$   
(in this case  $C = [1, 5, 10, 25]$ )

Repeatedly select the largest coin less than the remaining target value:

```
while ( $x > 0$ )  
    let  $c = \max(c_i \in \{c_1, \dots, c_k\} \mid c_i \leq x)$   
    add  $c$  to solution  
     $x = x - c$ 
```

**Observation:** We can rewrite this to take  $\lfloor n/c \rfloor$  copies of the next largest coin at each step, and reduce  $x$  by  $(c \cdot \lfloor n/c \rfloor)$

Avoid call to  $\max()$  by choosing next  $c_i$  from largest to smallest.

$C$  must be sorted.

# Let's reflect on this

What's its time-complexity? *pseudo polynomial*

- Looks like it's  $O(x)$  in the worst-case. (Why do I say that?)
  - Maybe it's  $O(kx)$  if I really have to do a  $\max()$  operation at each step
  - Maybe it's  $O(k)$  if  $C$  is sorted. Or would it be  $O(k \log k)$ ?

Does this algorithm always work? I.e. how can we prove it to be correct?

- Intuitively you know it's true for US coins, right?

# Some Terminology Before We Continue...

## Optimization problems: terminology

- A solution must meet certain constraints:

A solution is *feasible*

Example: All edges in solution are in graph, form a simple path.

- Solutions judged on some criteria:

*Objective function*

Example: Sum of edge weights in path is smallest

- One (or more) feasible solutions that scores highest (by the objective function) is called the *optimal solution(s)*

Both **dynamic programming** and the **greedy approach** are often good choices for optimization problems.



# Greedy Strategy: An Overview

## Greedy strategy:

- Build solution by stages, adding one item to the partial solution we've found before this stage
- At each stage, make *locally optimal choice* based on the **greedy choice** (sometimes called the *greedy rule* or the *selection function*)
  - Locally optimal, i.e. best given what info we have now
- Irrevocable: a choice can't be un-done
- Sequence of locally optimal choices leads to globally optimal solution (hopefully)
  - Must prove this for a given problem!
  - Sometimes basis for *approximation algorithms* or *heuristic algorithms* used to get something close to optimal solution.

# We've Seen Greedy Graph Algorithms

Dijkstra's Shortest Path is greedy!

Build solution by adding item to partial solution

- Dijkstra's: add edge to connect  $k$ th vertex, where the edges for the  $k-1$  already selected show the shortest paths to those  $k-1$  vertices

Greedy choice

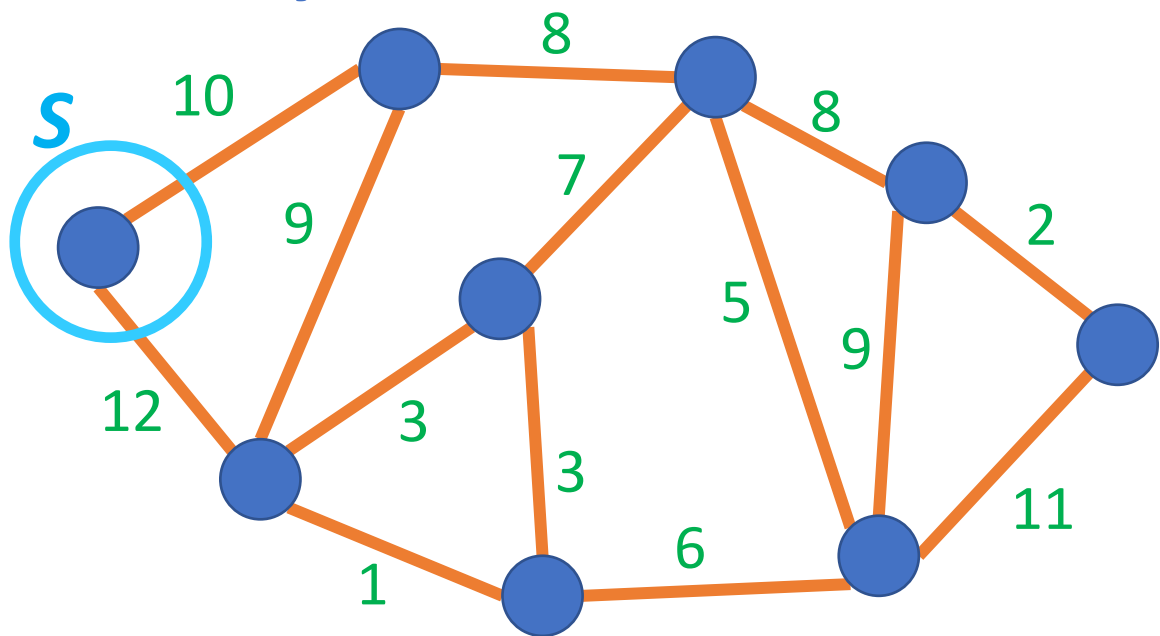
- Dijkstra's: for all vertices connected to one of the  $k-1$  vertices processed, choose  $w$  where  $dist(s,w)$  is the minimum

We did have to prove that this sequence of locally optimal choices leads to globally optimal solution

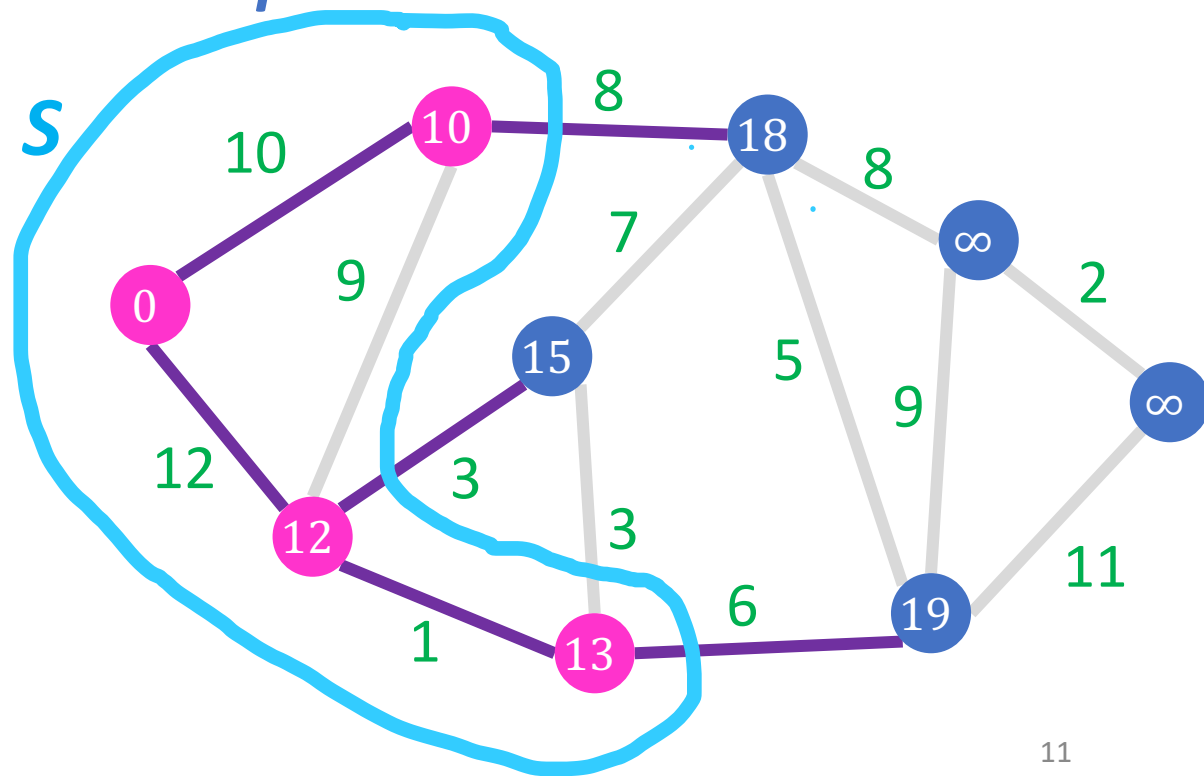
# Dijkstra's Algorithm

1. Start with an empty tree  $S$  and add the source to  $S$
2. Repeat  $|V| - 1$  times:
  - At each step, add the node "nearest" to the source not yet in  $S$  to  $S$

*Initially:*



*At some point later:*



# Back to Coin Changing: Correctness?

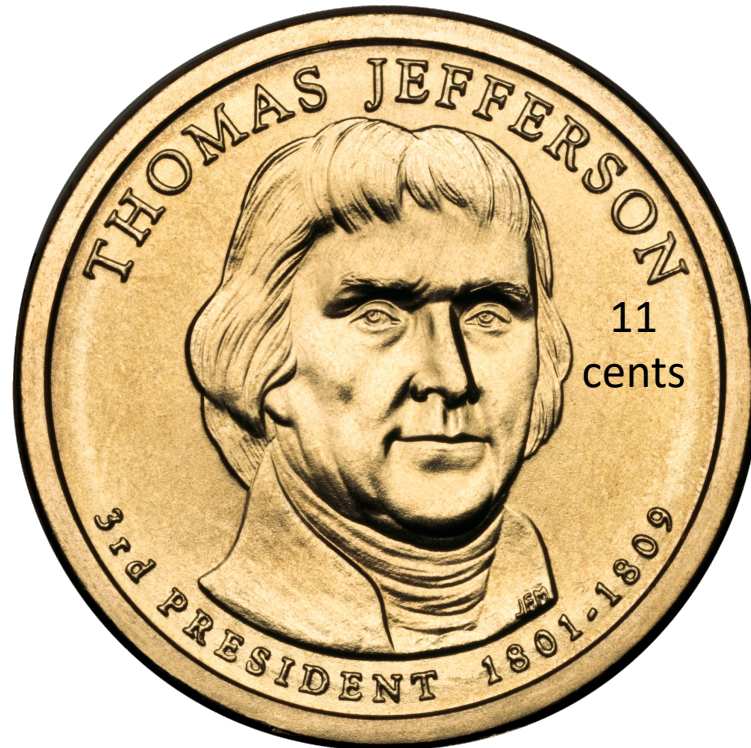
Can you think of how you might argue this strategy (algorithm) always choose the optimal solution for coin-changing?

Maybe argue along these lines:

- If an algorithm did something different than what our algorithm does, then it won't choose optimal solution.
- Or, if an algorithm did something different than what our algorithm does, we can swap what they did for what we do and we won't make their algorithm any worse. (Exchange argument)
- We'll see proof later in slides.

# Warm Up?, take 2

Given access to unlimited quantities of pennies, nickels, dimes, toms, and quarters (worth value 1, 5, 10, 11, 25 respectively), give 90 cents change using the **fewest** number of coins.



# Greedy method's solution

90 cents



# Greedy solution not optimal!

90 cents

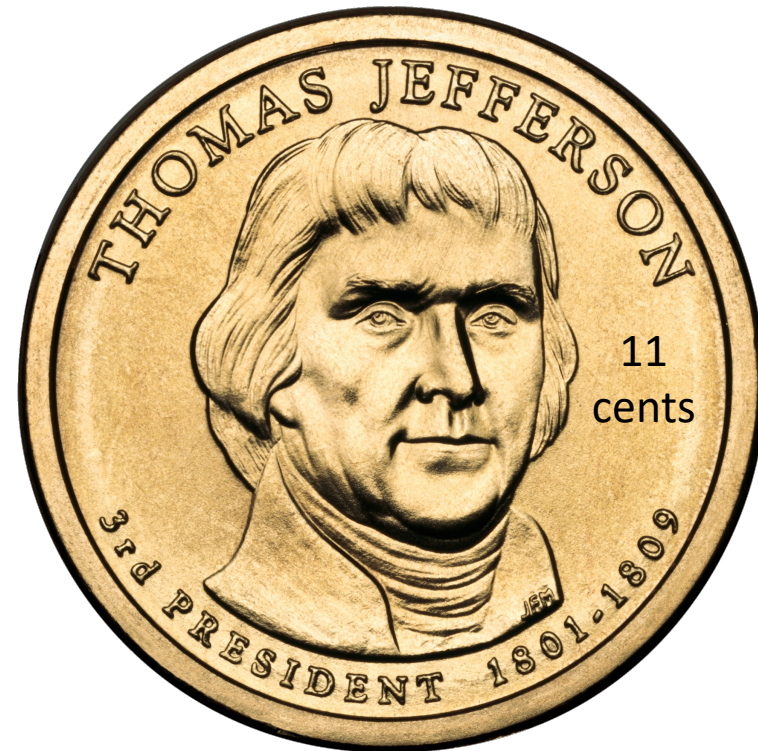


# Warm Up?, take 2

Given access to unlimited quantities of pennies, nickels, dimes, toms, and quarters (worth value 1, 5, 10, 11, 25 respectively), give 90 cents change using the **fewest** number of coins.

We can solve coin changing with dynamic programming (to be discussed soon).

That strategy will work for this set of coins!





# Summary of the Greedy Approach

Problem must have **Optimal Substructure**

- Optimal solution to a problem contains optimal solutions to subproblems
- Next slide has more details

Idea:

1. Identify a greedy **choice property**
  - How to make a choice guaranteed to be included in some optimal solution
2. Repeatedly apply the choice property until no subproblems remain

Greedy approach only considers one subproblem at each stage

# Change Making Choice Property

Our algorithm's **Greedy choice**:

Choose largest coin less than or equal to target value

Leads to optimal solution?

- For standard U.S. coins: Yes, coin chosen must be part of some optimal solution. We can prove it!
- For “unusual” sets of coins? We saw a counter-example.
- For U.S. postage stamps? Hmm...

# More on Optimal Substructure Property

Detailed discussion in CLRS 14.3 (chapter on Dynamic Programming)

- If  $A$  is an optimal solution to a problem, then the components of  $A$  are optimal solutions to subproblems

Another example: Shortest Path in graph problem

- Say  $P$  is min-length path from CHO to LA and includes DAL
- Let  $P_1$  be component of  $P$  from CHO to DAL, and  $P_2$  be component of  $P$  from DAL to LA
- $P_1$  must be shortest path from CHO to DAL, and  $P_2$  must be shortest path from DAL to LA
- Why is this true? Can you prove it? Yes, by contradiction. (Try this at home!)

# Correctness of Greedy Algorithm



Optimal solution must satisfy following properties:

- At most 4 pennies
- At most 1 nickel
- At most 2 dimes
- Cannot contain 2 dimes and 1 nickel

# Correctness of Greedy Algorithm

**Claim:** argue that at every step, greedy choice is part of some optimal solution

**Case 1:** Suppose  $x < 5$

- Optimal solution must contain a penny (no other option available)
- **Greedy choice:** penny

**Case 2:** Suppose  $5 \leq x < 10$

- Optimal solution must contain a nickel
  - Suppose otherwise. Then optimal solution can only contain pennies (there are no other options), so it must contain  $x > 4$  pennies (**contradiction**)
- **Greedy choice:** nickel

**Case 3:** Suppose  $10 \leq x < 25$

- Optimal solution must contain a dime
  - Suppose otherwise. By construction, the optimal solution can contain at most 1 nickel, so there must be at least 6 pennies in the optimal solution (**contradiction**)
- **Greedy choice:** dime

# Correctness of Greedy Algorithm

**Claim:** argue that at every step, greedy choice is part of some optimal solution

**Case 4:** Suppose  $25 \leq x$

- Optimal solution must contain a quarter
  - Suppose otherwise. There are two possibilities for the optimal solution:
    - If it contains 2 dimes, then it can contain 0 nickels, in which case it contains at least 5 pennies (**contradiction**)
    - If it contains fewer than 2 dimes, then it can contain at most 1 nickel, so it must also contain at least 10 pennies (**contradiction**)
- **Greedy choice:** quarter

**Conclusion:** in every case, the greedy choice is consistent with some optimal solution

# Correctness of Greedy Algorithm

What about that 11-cent coin, the “tom”? How’s that break this proof?

**Claim:** argue that at every step, greedy choice

Case 1: Suppose otherwise. Then optimal solution can only contain pennies (there is no nickel or dime). Greedy choice: nickel

This argument no longer holds. Sometimes, it’s better to take the dime; other times, it’s better to take the 11-cent piece.

For 15: 1 tom + 4 pennies vs. 1 dime + 1 nickel.  
For 12: 1 tom + 1 penny vs. 1 dime + 2 pennies

**Revised Case 3:** Suppose  $11 \leq x < 25$

- Optimal solution must contain a ~~dime~~ tom
  - Suppose otherwise. By construction, the optimal solution can contain at most 1 nickel, so there must be at least 6 pennies in the optimal solution (**contradiction**).
- Greedy choice: ~~dime~~ tom

# Wrap-up on Greedy basics

An approach to solving ***optimization problems***

- Finds ***optimal solution*** among set of ***feasible solutions***

Works in stages, applying ***greedy choice*** at each stage

- Makes locally optimal choice, with goal of reaching overall optimal solution for entire problem

Proof needed to show correctness

Remember: Problem must have ***optimal substructure property***

- This will also be true for problems solved by ***dynamic programming***



# Interval Scheduling

CLRS Section 15.1

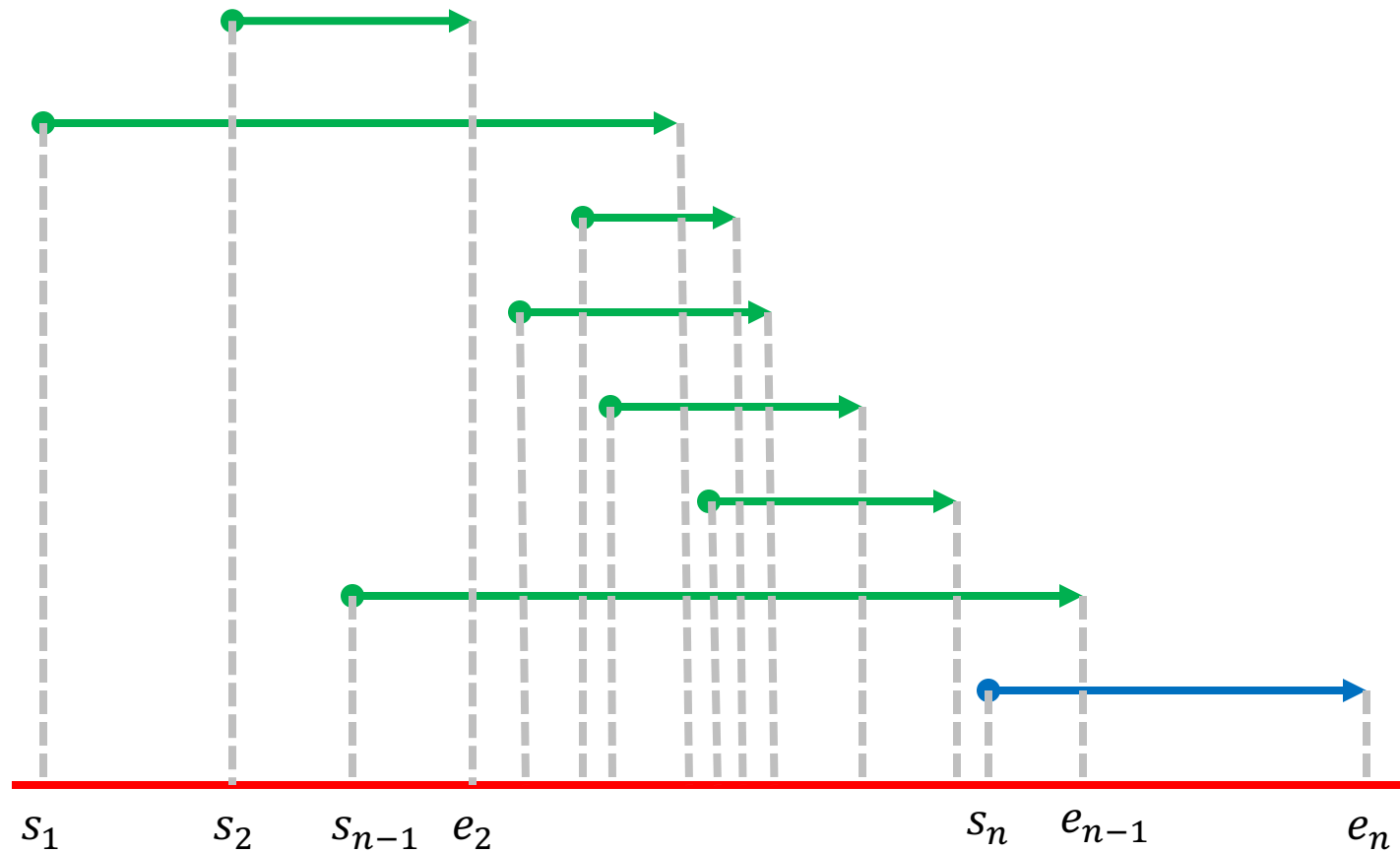
# Interval Scheduling

Input: List of events with their start and end times (sorted by end time)

Output: largest set of non-conflicting events (start time of each event is after the end time of all preceding events)

[1, 2.25]	Lunch with friends at Roots
[2, 3:30]	CS3100 Office Hours
[3, 4]	Streaming CS department talk
[4, 5.25]	Afternoon Tea
[4.5, 6]	Discussion section
[5, 7.5]	Super Smash Brothers game night
[7.75, 11]	UVA Basketball watch party

# Interval Scheduling Overview



# Greedy Interval Scheduling

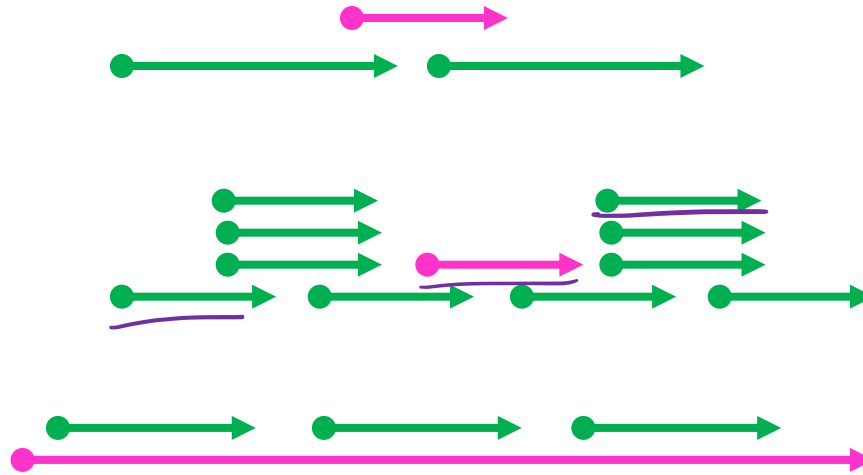
Step 1: Identify a **greedy choice property**

- one that ends first
- shortest duration
- least overlap
- one that starts first

# Greedy Interval Scheduling

## Step 1: Identify a greedy choice property

- Options:
  - Shortest interval
  - Fewest conflicts
  - Earliest start
  - Earliest end



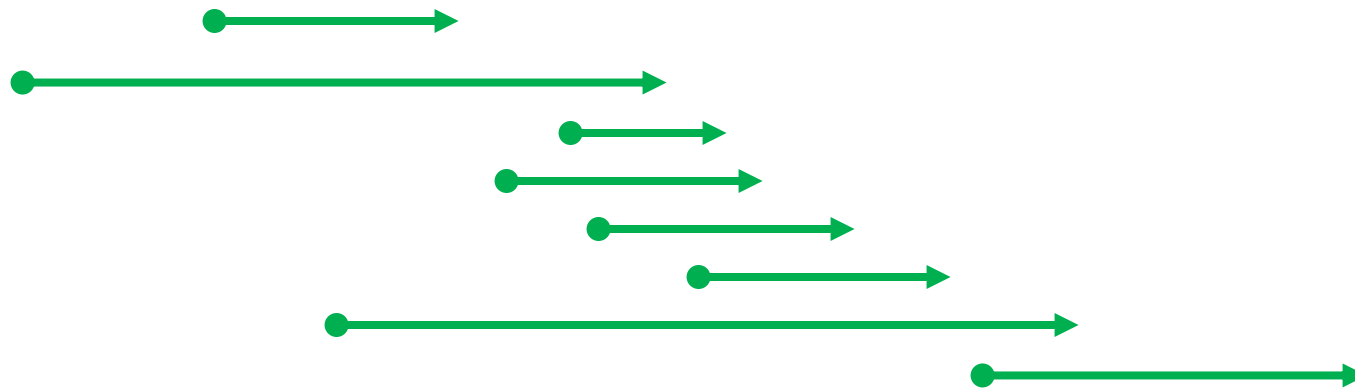
Prove using Exchange Argument

# Interval Scheduling Algorithm

Find event ending earliest, add to solution,

Remove it and **all conflicting events**,

Repeat until all events removed, return **solution**

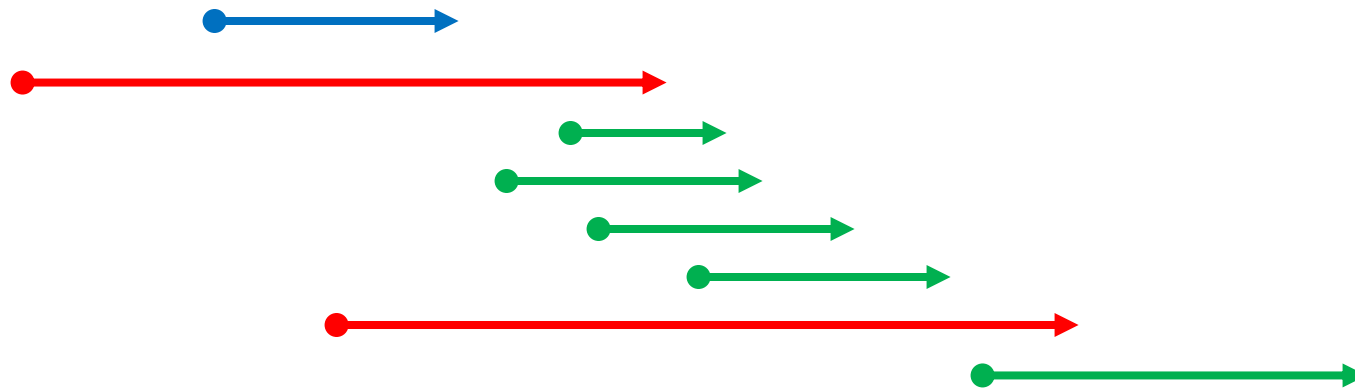


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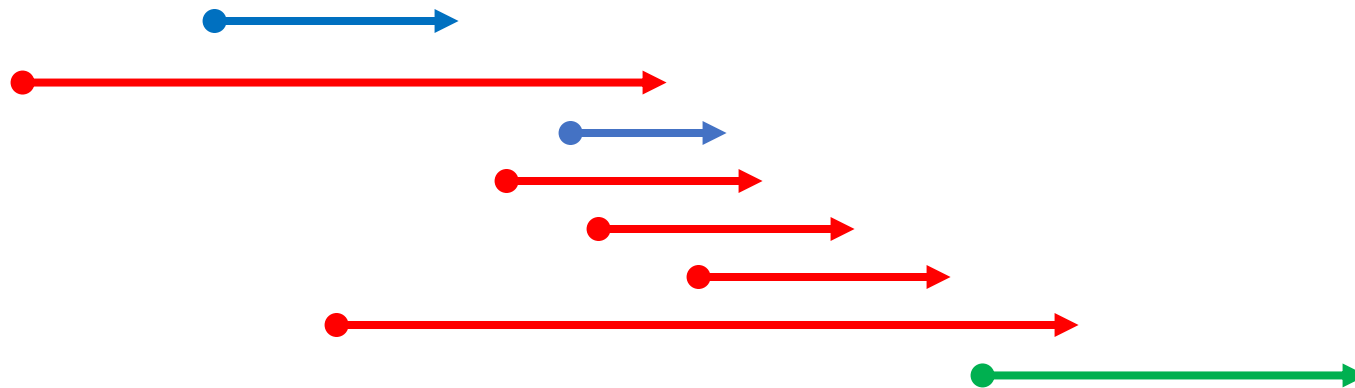


# Interval Scheduling Algorithm

Find event ending earliest, add to solution,

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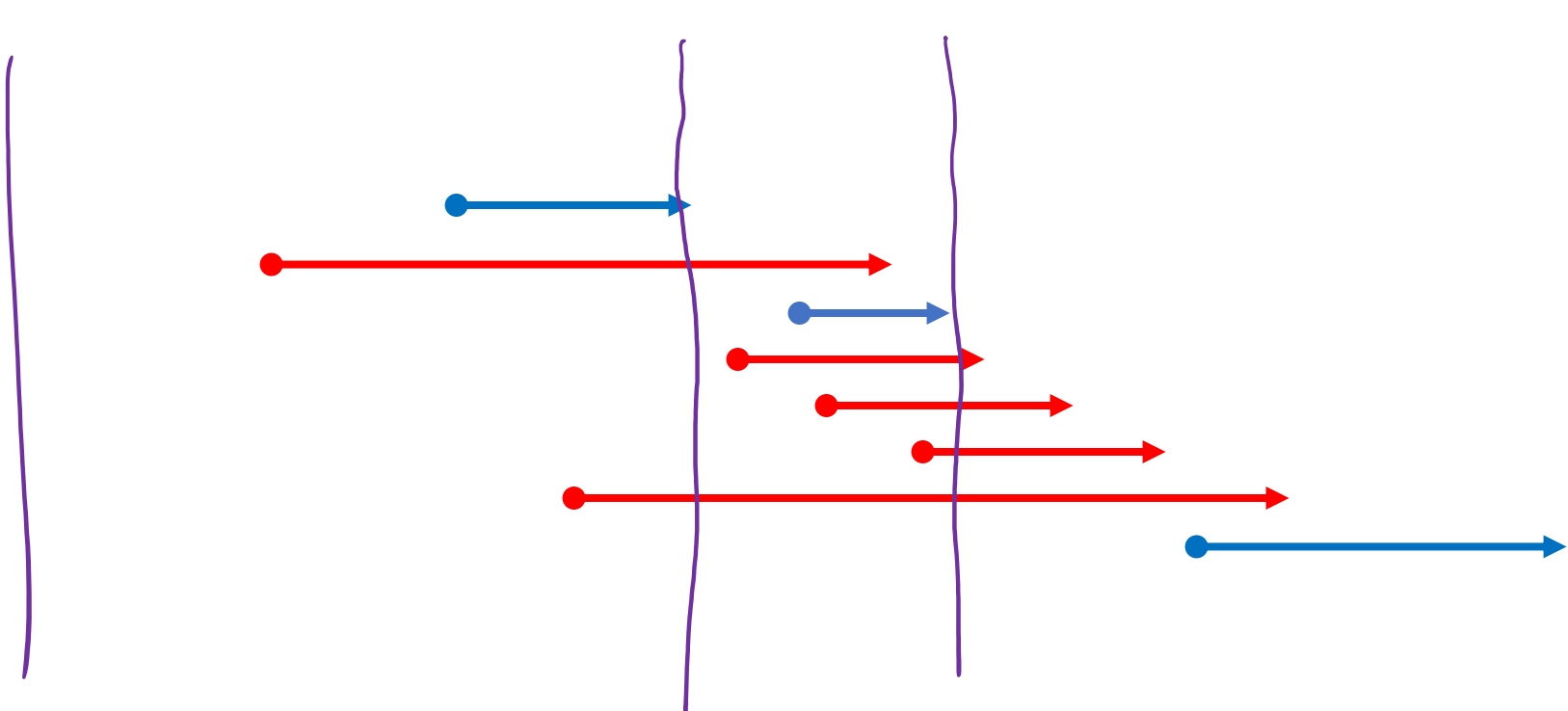


# Interval Scheduling Algorithm

Find event ending earliest, add to solution,

Remove it and **all conflicting events**,

Repeat until all events removed, return **solution**



# Interval Scheduling Run Time

Find event ending earliest, add to solution,

Remove it and all conflicting events,

Repeat until all events removed, return solution

Sort intervals by finish time

StartTime = 0

for each interval (in order of finish time):

if begin of interval > StartTime:

add interval to solution

StartTime = end of interval

# Exchange argument

Shows correctness of a greedy algorithm

Idea:

- Show exchanging an item from an arbitrary optimal solution with your greedy choice makes the new solution no worse
- How to show my sandwich is at least as good as yours:
  - Show: “I can remove any item from your sandwich, and it would be no worse by replacing it with the same item from my sandwich”



# Exchange Argument for Earliest End Time

# Exchange Argument for Earliest End Time

**Claim:** earliest ending interval is always part of some optimal solution

Let  $OPT_{i,j}$  be an optimal solution for time range  $[i, j]$

Let  $a^*$  be the first interval in  $[i, j]$  to finish overall

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If  $a^* \in OPT_{i,j}$  then **claim** holds ✓

Else if  $a^* \notin OPT_{i,j}$ , let  $a$  be the first interval to end in  $OPT_{i,j}$



- By definition  $a^*$  ends before  $a$ , and therefore does not conflict with any other events in  $OPT_{i,j}$
- Therefore  $OPT_{i,j} - \{a\} + \{a^*\}$  is also an optimal solution
- Thus **claim** holds ✓